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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: DeWitt, Jr. et al.

Serial No.: 10/757,192

Filed: January 14, 2004

For: Method and Apparatus for  
Providing Pre and Post Handlers for  
Recording Events

35525

PATENT TRADEMARK OFFICE  
CUSTOMER NUMBER

§ Group Art Unit: 2111

§ Examiner: Raymond N. Phan

§ Attorney Docket No.: AUS920030543US1

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

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By:

Louise Fay

**TRANSMITTAL DOCUMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

ENCLOSED HEREWITH:

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- References AQ-AR; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

**DeWitt, Jr. et al.**

Serial No: **10/757,192**

Filed: **January 14, 2004**

Title: **Method and Apparatus for Providing  
Pre and Post Handlers for Recording  
Events**

Group Art Unit: **2111**

Intellectual Property  
Law Department  
International Business  
Machines Corporation  
11400 Burnet Road  
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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (b) exists.

The patents and/or publications submitted herewith are set forth on the attached form, PTO-1449.

No item of information cited in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Supplemental Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement.

No fee is believed to be required. In the event a fee is required in connection with the enclosed Supplemental Information Disclosure Statement, the Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0447 for the necessary amount.

Respectfully submitted,



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<p>Substitute for form 1449/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p>		<b>Complete If Known</b>		
		Application Number		10/757,192
		Filing Date		January 14, 2004
		First Named Inventor		DeWitt, Jr. et al.
		Examiner Name		Raymond N. Phan
		Art Unit		2111
		Attorney Docket No.		AUS920030543US1
Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	4,034,353	07-1977	Denny et al.	
AB	4,821,178	04-1989	Levin et al.	
AC	5,151,981	09-1992	Westcott et al.	
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AP	3,707,725	12-1972	Dellheim	
<b>FOREIGN PATENT DOCUMENTS</b>				
Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
<b>NON PATENT LITERATURE</b>				
Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
AQ	Mano, "Computer System Architecture", Prentice-Hall, Inc., 2 <sup>nd</sup> Ed., 1982, pp.434-443.			
AR	Inoue, "Digital mobile communication system designed for nationwide police activities – WIDE system", October 1996.			

Examiner:

Date Considered:

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.